

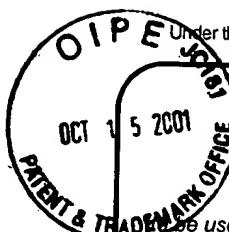
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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(Use for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number	09/687,148
Filing Date	October 12, 2000
First Named Inventor	Sie, John J.
Group Art Unit	2131
Examiner Name	

Attorney Docket Number

019281001000

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Copies of 13 references cited

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- Fee Transmittal Form
- Fee Attached
- Amendment / Response
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
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Other Enclosure(s)
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Firm and Individual name	Townsend and Townsend and Crew LLP	Reg No. 43,616
Signature		
Date	10/4/01	

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By: Janet A. Ohler

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John J. Sie et al.

Application No.: 09/687,148

Filed: October 12, 2000

For: PROGRAMMING DISTRIBUTION
AND NOTIFICATION SYSTEM

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

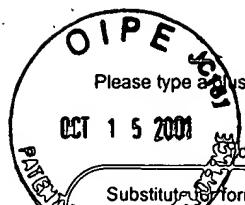
Respectfully submitted,


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Substitution Form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 1

Complete if Known

Application Number	09/687,148
Filing Date	October 12, 2000
First Named Inventor	John J. Sie
Group Art Unit	2131
Examiner Name	

Attorney Docket Number 019281-001000US

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,130,792		Tindell et al.	07-14-1992	
	AB	5,619,249		Billock et al.	04-08-1997	
	AC	5,805,154		Brown	09-08-1998	
	AD	Re. 36,801		Logan et al.	08-01-2000	
	AE	6,163,272		Goode et al.	12-19-2000	
	AF	6,209,024	B1	Armstrong et al.	03-27-2001	
	AG	6,229,895	B1	Son et al.	05-08-2001	
	AH	6,233,389	B1	Barton et al.	05-15-2001	
	AI	6,233,607	B1	Taylor et al.	05-15-2001	
	AJ	6,240,553	B1	Son et al.	05-29-2001	
	AK	6,253,375	B1	Gordon et al.	06-26-2001	
	AL	6,282,207	B1	Lerman et al.	08-28-2001	

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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	AM	"Ciciora, Walter; James Farmer; David Large; <i>Modern Cable Television Technology: Video, Voice, and Data Communications</i> ; Morgan Kaufmann Publishers, Inc.; 1999; Chapter 16; pp. 659-688	

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Examiner Signature		Date Considered
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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